

**AUDIT & STANDARDS COMMITTEE**

**13<sup>th</sup> June 2019**

**HEAD OF INTERNAL AUDIT'S ANNUAL REPORT 2018/19**

**Purpose of Report**

To report on the Internal Audit Team's completed assignments throughout 2018/19, recommendations made and also the Head of Internal Audit's annual assurance opinion based on the work undertaken during the year.

**Freedom of Information and Schedule 12A of the Local Government Act 1972**

Under the Freedom of Information Act this paper and any appendices will be made available under the Combined Authority Publication Scheme. This scheme commits the Authority to make information about how decisions are made available to the public as part of its normal business activities.

**Recommendations**

**It is recommended that Members consider the report and as necessary request further information and/or explanations from Internal Audit or Management.**

**1. Introduction**

**1.1** As part of its role, the Audit Committee oversees the work of the Internal Audit Team and receives various reports. The following reports were provided during the financial year:-  
April 2018

- IA Progress Report
- IA Annual Plan Report 2018-19

June 2018

- IA Progress Report
- IA Charter 2018-20
- IA Annual Plan Report 2017-18

October 2018

- IA Progress Report

January 2019

- IA Progress Report
- IA QAIP Report

April 2019

- IA Progress Report

- 1.2** In accordance with statutory best practice provided by Public Sector Internal Audit Standards, there is a requirement that the Head of Internal Audit prepares an annual report to the appropriate member body. This requirement is best supported through regular reports during the year, providing, amongst other things, ongoing assurances on the adequacy and effectiveness of the Authority's framework of governance, risk management and control.
- 1.3** Internal Audit have periodically met with the Deputy Managing Director and Senior Finance Manager throughout the year to provide advice and guidance at a strategic level, to add value to the Annual Governance Statement process, to provide for a managed approach to Internal Audit service delivery and to receive timely notification of emerging issues from an operational viewpoint.

## **2. Implications**

### **2.1 Financial**

The charge for the Internal Audit service was as planned and consequently there are no financial implications directly from this report.

### **2.2 Legal**

There are no legal implications.

### **2.3 Risk Management**

Management engagement and responses remain positive which helps support a positive assurance that where opportunities for control, risk or governance improvements are highlighted, these are embraced by management.

### **2.4 Equality, Diversity and Social Inclusion**

There are no implications.

## **3. Appendices/Annexes**

- 3.1**
- Appendix A – Annual Report;
  - Appendix B – Details of Work Undertaken During the Year;
  - Appendix C – Recommendations and Themes
  - Appendix D – Plan Analysis 2018/19;
  - Appendix E – Recommendation Status Update.

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Background papers used in the preparation of this report are available for inspection at: 11 Broad Street West, Sheffield S1 2BQ

Other sources and references: Internal Audit Charter 2018-20, Annual Plan 2018/19, Internal Audit Reports, MK Insight (audit management system), Public Sector Internal Audit Standards 2017.